

## CERTIFICATION UNDER 37 CFR §1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date February 15, 2002 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL897898458US to Commissioner for Patents, U.S. Patent and Trademark Office, Washington, DC 20231.

Sheila C. Seemann  
Printed Name of Person Mailing Paper

Sheila C. Seemann  
Signature of Person Mailing Paper

10/07/72  
JCS98 U.S. PTO  
02/15/02

**APPLICATION TRANSMITTAL**  
**UNDER 37 CFR §1.53(b) or 37 CFR §1.153**

**COMMISSIONER FOR PATENTS**  
**U.S. PATENT AND TRADEMARK OFFICE**  
**WASHINGTON, DC 20231**

Dear Sir:

Transmitted herewith for filing under 37 CFR §1.53(b) or 37 CFR §1.153 is the patent application of:

Inventor(s): David F. Gavin  
Craig Waldron  
Robert J. Martin  
George A. Polson

For: COMPOSITE BIOCIDAL PARTICLES

1. Type of Application:

- ☐ Original ☐ Design ☐ Continuation  
☒ Divisional ☐ Continuation-In-Part

2. Benefit of Prior Foreign Application(s) (35 U.S.C. §119)

- ☐ The new application being transmitted herein claims the benefit of:  
☐ prior Foreign Application Serial No. [insert foreign serial number], filed on [insert COUNTRY OF ORIGIN]; or  
☐ PCT International Application designating at least one country other than the United States with the Serial No. [insert PCT SERIAL NUMBER], filed on .

A certified copy is ☐ enclosed or ☐ on file in the prior application.

3. Benefit of Prior U.S. Application(s) (35 U.S.C. §120)

- ☒ The new application being transmitted herein claims the benefit of prior copending U.S. application(s), U.S. Serial No.: 09/120,664, filed on July 22, 1998.

4. Papers Enclosed Which are Required for Filing Under 37 CFR §1.53(b) or 37 CFR §1.153

- 20 page(s) of Specification
- 7 page(s) of Claims, having 39 total claims, including 8 independent claims and 31 dependent claims.
- 1 page(s) of Abstract
- 1 sheets of ☐ formal ☒ informal Drawings

5. Additional Papers Enclosed

- ☒ Return Receipt Postcard
- ☒ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449
- ☐ Copies of Cited Art
- ☐ Other: [insert TITLE OF ADDITIONAL PAPERS]

6. Inventor's Declaration/Power of Attorney

An Inventor's Declaration/Power of Attorney is ☒ enclosed or ☐ not enclosed,  
and ☒ is signed or ☐ not signed.

7. Assignment

- ☐ Enclosed is a recordation form and an assignment of the invention to [insert ASSIGNEE'S NAME], a corporation of the State of [insert STATE].

8. Small Entity Status

- ☐ Applicant claims Small Entity Status.

9. Fee Calculation (37 CFR §1.16)

CLAIMS AS FILED					
NUMBER FILED			NUMBER EXTRA	RATE	BASIC FEE
					\$740.00
TOTAL CLAIMS	39	-20	19	\$ 18.00	\$ 342.00
INDEPENDENT CLAIMS	8	-3	5	\$ 84.00	\$ 420.00
MULTIPLE DEPENDENT CLAIMS, if any				\$280.00	
TOTAL					\$1502.00
REDUCTION FOR SMALL ENTITY BY 50%					
TOTAL FILING FEE					\$1,502.00

10. Method of Payment of Fees

- ☐ Check in the amount of [insert CHECK AMOUNT].
- ☒ Charge Account No. 23-1665 in the amount of \$1502.00.

11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees which may be required under 37 CFR §§ 1.16, 1.17, 1.18, or credit any overpayment to Account No. 23-1665.

12. Correspondence

Please address all correspondence to Customer Number:

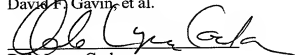


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PATENT TRADEMARK OFFICE

Date: February 15, 2002

Respectfully Submitted,  
David F. Gavin, et al.

  
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